

# **STATUS OF AUDIT ACTIVITY**

## **As of JUNE 30, 2019**

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Johnson County Audit Services

August 29, 2019



# RECOMMENDATIONS ISSUED & IMPLEMENTED

Recommendation Activity					Number Months Past Original Comp Date					
Open as of 3/31/2019	Issued 2 <sup>nd</sup> Quarter	Implemented	Closed	Open as of 6/30/2019	Not Due	1-6 Months	7-12 Months	13-18 Months	19-24 Months	Over 2 Years
28	3	9	0	22	10	9	3	0	0	0

## ISSUED

3 – *Physical Security Management Audit (2019)*

## IMPLEMENTED

1 – *Cybersecurity Incident Response & Recovery Planning Audit - JCL (2019)*

4 – *Med-Act Transition Audit (2018)*

2 – *Johnson County Sheriff’s Office Transition Audit (2017)*

2 – *Johnson County Elections Transition Audit (2016)*

# Audits Closed During 2<sup>nd</sup> Quarter

## Sheriff's Office Transition Audit (2017)

- A total of 20 recommendations were offered
- Two recommendations implemented 2<sup>nd</sup> quarter
- Audit closed

## Election Office Transition Audit (2016)

- A total of 28 recommendations were offered
- Two recommendations implemented 2<sup>nd</sup> quarter
- Audit closed



# RECOMMENDATIONS IN PROGRESS

Open Audits	Total Recommendations	Open Recommendations	% Complete
<b>Physical Security Management Audit (2019)</b>	3	3	0%
<b>Cybersecurity Response &amp; Recovery Audit (2019)</b>	7	5	29%
<b>Med-Act Transition Audit (2018)</b>	18	10	44%
<b>Sheriff's Revenue Audit (2018)</b>	7	1	86%
<b>Airport Commission Transition Audit (2017)</b>	19	1	95%
<b>HUD-OIG - City of Olathe (2016)</b>	2	2	0%
<b>Total</b>	56	22	61%

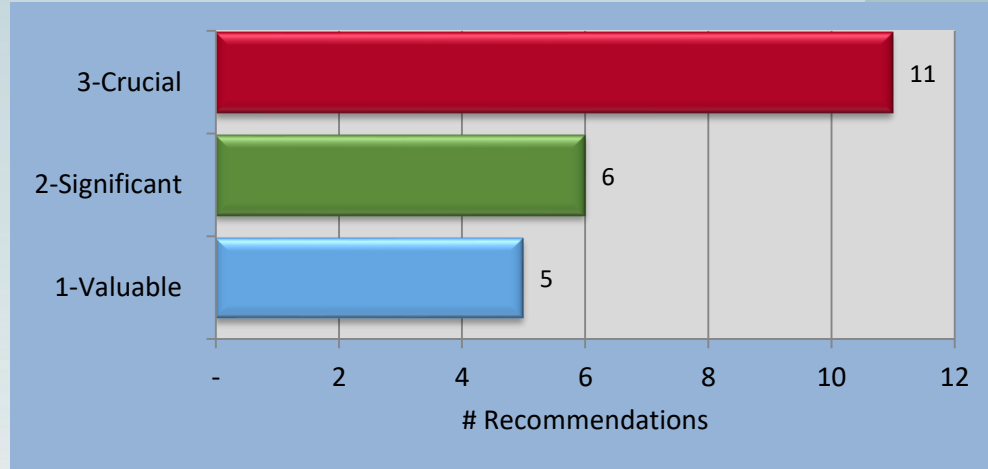
# Cybersecurity - Response & Recovery (2019)

Five recommendations remain outstanding

- 4 recommendations – DTI
- 1 recommendation – JIMS
- All recommendations are projected to be implemented this year

# Summary

## Impact Rating Breakdown



Rating	Implementing a recommendation with the designated rating may improve...
<b>1- Valuable</b>	...efficiencies and effectiveness in a process leading to enhanced operations.
<b>2- Significant</b>	...efficiencies and effectiveness in a process resulting in enhanced program outcomes, cost efficiencies, strengthened internal controls and/or compliance.
<b>3- Crucial</b>	...operations, resulting in significant program outcomes and cost efficiencies; strengthen internal controls and/or reduce the potential for liability and/or loss.

**Questions ?**

**Thank you**

Complete 2<sup>nd</sup> quarter status report can be found at

[WWW.JOCOGOV.ORG/AUDIT](http://WWW.JOCOGOV.ORG/AUDIT)